

LUMAX INDUSTRIES LIMITED

Registered Office: B-85-86, Mayapuri Industrial Area, Phase-I, New Delhi – 110064

Website: www.lumaxindustries.com, Tel: 011 28116990, Fax: 011 28115779

Email: lumaxshare@lumaxmail.com, CIN:L74899DL1981PLC012804

FOR IMMEDIATE ATTENTION & ACTION BY SHAREHOLDERS

March 27, 2017

Ref.Folio/DP ID/Client : _____

Dear Shareholder(s),

Sub.: Transfer of Equity Shares relating to Unclaimed Dividends to the Investor Education and Protection Fund (IEPF) Authority.

This has reference to the dividend declared by the Company, which is being remitted either electronically or by sending dividend warrants to the registered address of the eligible shareholders. The dividend declared and paid by the Company for the Financial Year 2008-09, which was sent to your registered address as available in the records of the company/depositories has remained unclaimed.

The Company has been writing periodical reminders as a part of sending notice of Annual General Meeting to claim the Dividend. However, since the dividend for the Financial Year 2008-09 payable to you has remained unclaimed for 7 years, the Company has transferred the dividend amount on 28.10.2016 to Investor Education & Protection Fund set up by Central Government.

Section 124(6) requires that all shares in respect of which unpaid or unclaimed dividend has been transferred to IEPF, shall also be transferred to IEPF. In this connection, Please note that the Ministry of Corporate Affairs on 5th September, 2016 has notified the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016, as amended. The said rules amongst other matters, contain provisions for transfer of shares in respect of which dividend has not been paid or remain unclaimed for seven consecutive years or more, in the demat account of IEPF Authority.

As per our records, you have not encashed any dividend declared by the company for the last 7 years i.e. from 2009-10 to 2015-16. Since all the dividends on the shares held by you, has remained unclaimed for the last 7 years, the shares held by you are liable to be transferred to IEPF Authority in view of the said notification.

You are therefore requested to make an application to the Company/Registrar on or before 31-05-2017 with a request for claiming the unpaid dividend for the year 2009-10 onwards along with a self attested copy of PAN card, address proof and the enclosed letter of undertaking duly filled/signed, so that the shares held by you are not transferred to the IEPF Authority. It may please be noted that if no reply is received by the Company or the Registrar by 31-05-2017, the Company shall proceed to transfer the Shares held by you to the IEPF Authority in compliance with the said rules, by following the due process as enumerated in the said notification which is as under;

- In case shares held in physical form- by issuance of duplicate share certificate and transfer to the IEPF Authority;
- In case shares held in demat mode- the company shall inform the depository by way of Corporate action, where the shareholders have their accounts, for transfer to the IEPF Authority.

Please note that no claim shall lie against the company in respect of the share transferred to the IEPF Authority. However, you can claim the shares from the IEPF Authority by making necessary applications in the prescribed form (IEPF-5) which is available on the website of the Ministry of Corporate Affairs at www.iepf.gov.in.

For any clarification, you may contact at the following address:

Lumax Industries Limited Legal & Secretarial Department Plot No.16, Sector 18, Maruti Complex, Gurugram, Haryana Ph:(0124)2341090 Email: lumaxshare@lumaxmail.com	M/s Karvy Computershare Pvt. Ltd. Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032 Tel.: 040-67162222 Fax No.:040-23001153 Toll Free No.: 1800-4258-998 Email: einward.ris@karvy.com
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Thanking you,

Yours faithfully,

For **LUMAX INDUSTRIES LIMITED**

Sd/-

SHWETANK TIWARI

COMPANY SECRETARY

(This letter is computer generated and hence no signature is required)

LETTER OF UNDERTAKING

To,

Kary Computershare Private Limited
(Unit: Lumax Industries Limited)
Kary Selenium Tower B,
Plot 31-32, Gachibowli, Financial District,
Nanakaramguda, Hyderabad – 500 032

Ref.Folio/DP ID/Client ID: _____

I/We hereby confirm that I/we have not encashed, negotiated or otherwise dealt in the dividend paid by the Company in last seven years, so as to create any adverse claim upon the amount of the dividend warrant(s).

The following are the details of the unclaimed dividends of the subsequent financial years:

Dividend Warrant No.	MICR.No./DD.No.	Amount(s) Rs.	Date of Payment

In consideration of your issuing to me/us all Demand Draft(s)/Pay Order(s) in lieu of the Dividend Warrant(s) lying unclaimed/unpaid in my/our name(s) and which are irretrievable/lost or misplaced or crediting the amounts directly to my bank account as mentioned below, I/we hereby agree and undertake to hold you harmless and to keep you protected from/against all losses, costs or damages which you may sustain or incur by reason of your issuing Demand Draft(s)/ Pay Order(s) or by the original Dividend Warrant(s) being, at any time, found and presented for payment by any person or persons claiming to be the holder(s) of the Dividend Warrant(s) or in any way interested therein.

I/We further agree and undertake to return to you the original Dividend Warrants, should it be found by me/us or again come into my/our possession at any time hereafter.

First Holder :	Name	Signature				
Joint Holder1 :						
Joint Holder2 :						
Address of First Holder:						
Bank Account Details : (for electronic credit of unpaid dividends and all future dividends)						
Name of the Bank						
Name of the Branch						
Account Number (as appearing in your cheque book)						
Account Type (Saving / Current / Cash Credit)	<u>Saving</u>		<u>Current</u>		<u>Cash Credit</u>	
9 Digit MICR Number (as appearing on the MICR cheque issued by the bank) Please enclose a photocopy of a cheque for verification						
11 Digit IFSC Code						
Witness1:	Witness2:					
Name :	Name :					
Address :	Address :					
Signature :	Signature :					

Dated:

- Note:**
- 1) For the undertaking to be considered complete, please ensure the name, address and bank details of first holder and joint holder(s), as applicable, are filled correctly and is duly signed by all the holders and two witnesses.
 - 2) In case any change in the corresponding address, while forwarding the undertaking please forward request letter also duly signed for updation of new corresponding address.
 - 3) The signature made by shareholder(s) should match with Company's records failing which the application would be rejected. In case you foresee the possibility of signature mismatch, please forward specimen signature of all the shareholders duly attested by banker on their letter head by mentioning account number and contact details to enable us to update the fresh specimen signature.
 - 4) Please note that if the dividend amount is in excess of Rs. 500/- then the 'Letter of Undertaking' shall be executed on Rs. 20/- Non-Judicial Stamp Paper or franking of Rs. 20/- would suffice.